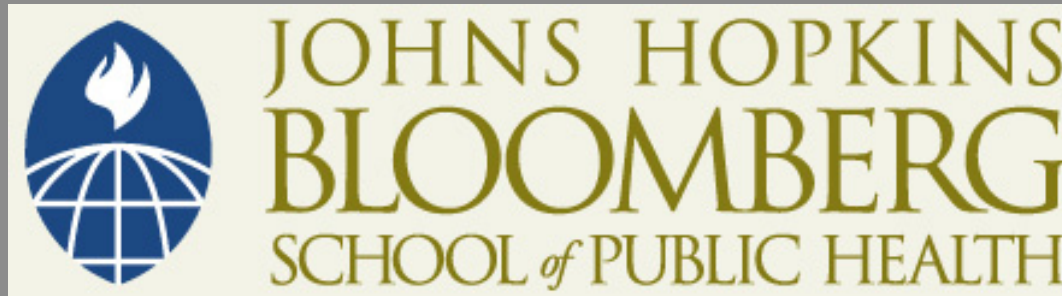


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*Macrosystems: Policy, Payment, Regulation,  
Accreditation, and Education to Improve Safety*

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## *Section A*

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Federal and State Efforts

# *Levels within American Healthcare*

- Patient level
- Microsystems—small units of care delivery
- Organizations—house and/or support small units
- Macrosystems—influence the microsystems
  - Policy, payment, regulation, accreditation, professional education
  - Legislators, regulators, accreditors, payers, patient safety organizations, educators

# *Groups Contributing to Patient Safety*

- Federal agencies
  - AHRQ, QuIC, FDA, IOM, VA, CMS
- Congress
- States
  - Licensing boards, health data organizations, legislatures
- Public/private partnerships
  - Leapfrog, NQF, state-based coalitions
- NGOs
  - IHI, ISMP, JCAHO, NPSF, philanthropies
- Professional and health care organizations

- Agency for Healthcare Research and Quality (AHRQ) is the lead federal agency for patient safety
- Center for Quality Improvement and Safety (CQuIPS)
- National Health Information Technology Coordinator, David Brailer (U.S. Department of Health and Human Services)

# *The Quality Interagency Coordination (QuIC) Taskforce*

- Establish a national focus to create leadership, research, tools, and protocols to enhance the knowledge base about safety
- Identify and learn from medical errors through both mandatory and voluntary reporting systems
- Raise standards and expectations for improvements in safety through the actions of oversight organizations, group purchasers, and professional groups
- Implement safe practices at the delivery level

- Universal bar coding of medications
- Electronic patient record (VISTA)
- System-wide implementation of safe practices
- Four patient safety research centers



## S. 544/H.R. 3205, the “Patient Safety and Quality Improvement Act of 2005”

- Establishes a confidential reporting structure for voluntary reporting of information on errors to patient safety organizations (PSOs)
- PSOs would analyze the data to develop patient safety improvement strategies
- Patient safety information confidential and legally protected

# *Payment Incentives?*

- Centers for Medicare and Medicaid Services (CMS) launching important demonstration experiments of impact of improved payment on safety efforts
- Reward hospitals and physicians that achieve high levels of safety?
  - Central line infections, ventilator-associated pneumonia, surgical site infections

- Efforts by states to ensure patient safety
- 21+ have mandatory reporting systems
- 30+ have some form of tort reform
- Enacted legislation creating state patient safety centers



- Patients' Safety Act of 2001 charged MHCC with studying the feasibility of developing a system to reduce the incidence of preventable adverse medical events
- Including a reporting system
- Preliminary recommendations to focus on systems, regulation, strengthening immunity protections, visibility

- The Medical Care Availability and Reduction of Error Act, signed March 20, 2002
- Requires reporting of serious events or incidents
- Provision of written notification to any patients affected by a serious event

## *Patient Written Notification*

- Medical facilities are required to provide **written notice** of serious events to the patient or an adult family member **within seven days** of the occurrence or discovery of the occurrence of a serious event

# *Laws Protecting Apology*

- California, Massachusetts, Texas, Colorado, Oregon legislatures passed laws allowing physicians to make statements of sympathy and condolence with the assurance that these statements would not be used against them later in court

- The portion of statements, writings, or benevolent gestures expressing sympathy or a general sense of benevolence relating to the pain, suffering, or death of a person involved in an accident . . . shall be inadmissible as evidence of liability in a civil action
  - Section 1160, California Evidence Code (2000)





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## *Section B*

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Private Sector Efforts

# *Nongovernmental Organizations (NGOs)*

- JCAHO
- NQF
- NPSF
- IHI
- Regional coalitions
- Public-private coalitions

- Public-private partnership to develop and improve quality measures
- Consensus process
  - Standards for mandatory reporting
  - High-impact, evidence-based safe practices
  - Taxonomy

- Originally published in 2002
- Thirty evidence-based safety practices
- JCAHO required hospitals to implement 11 of them in 2003 (e.g., improving patient identification, communication, surgical site verification)

# Criteria for Selection

- Specificity
- Benefit
- Evidence for effectiveness
- Generalizability
- Readiness



## *Ten of Thirty NQF-Endorsed Safe Practices*

- Verbal orders should be recorded whenever possible and immediately read back to the prescriber
- Use only standardized abbreviations and dose designations
- Patient care summaries should not be prepared from memory
- Implement a computerized prescriber order entry system
- Implement standardized protocols to prevent the occurrence of wrong-site procedures or wrong-patient procedures

## *Five More Safe Practices*

- Utilize dedicated anti-thrombotic (anti-coagulation) services
- Adhere to effective methods of preventing central venous catheter-associated bloodstream infections
- Decontaminate hands prior to and after direct contact with the patient or objects immediately around the patient
- Identify all "high alert" drugs
- Dispense medications in unit-dose whenever possible

# *Purchasers and Payers*

- Centers for Medicare and Medicaid
- Leapfrog Group



# Leapfrog Group

- Purchasing consortium
- Represents 25 million lives
- 65 Fortune 500 companies
- Purchasing specifications



# *Purchasing Principles*

- Educate and inform enrollees
- Compare at the provider level
- Reward superior provider value
  - Patient volume
  - Pay for performance
  - Public recognition
- Initially highlighted three tangible safety leaps

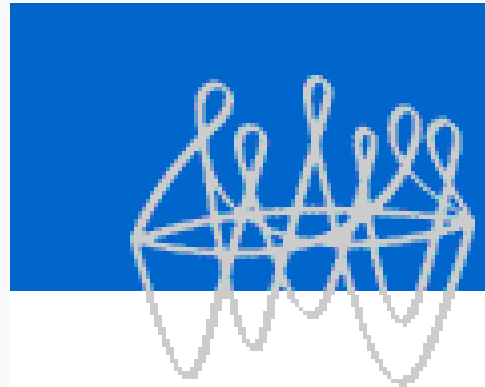
# *Leapfrog Purchaser Strategy*

- Organized effort to buy right
  - Purchasing principles that strongly reward higher provider value
  - Purchaser accountability
    - ▶ Create a business case for providers
- Emphasize tangible safety leaps
  - Mobilize consumers and patients

# *Initial Safety “Leaps”*

- An Rx for Rx
  - Computer physician order entry (CPOE)
- Practice makes perfect
  - Evidence-based hospital referral (EHR)
- Sick people need special care
  - ICU daytime staffing with CCM-trained physicians
- Fourth leap = NQF safe practices

- Nationally recognized forum
- Annual conference



[www.npsf.org](http://www.npsf.org)

IHI.org

A resource from the  
Institute for Healthcare Improvement

- Redesign systems for safety
- Demonstration projects
- Training
- Trigger tools

# *Statewide Patient Safety Coalitions*

- In 17 states
- Diverse public/private memberships, including patients, professionals, and institutions
- Most mature: Arkansas, Georgia, Massachusetts (1998), Minnesota, Pennsylvania, Virginia, Wisconsin
- Laboratories for safety and quality improvement

**Massachusetts Coalition**  
**for the**  
**Prevention of Medical Errors**

Accreditation Council  
for Graduate  
Medical Education

Residents

Program Directors & Coordinators

DIOs

Public



- Work hour limitations
- Accreditation Council on Graduate Medical Education (ACGME)
- Defining competencies for resident physicians